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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

-	Complete if Kno	own	16	(3)
Application Number	09/826,517	4, 1	The	<u> </u>
Filing Date	4/17/01			00
First Named Inventor	Hung T. Du		<u> </u>	
Examiner Name	Thanh Lam		<u>.</u>	
Group / Art Unit	2834			
Attorney Docket No.	0275Y-000431/CPA			

TOTAL AMOUNT OF PAYMENT (\$) 180					Attorney Docket No. 02/51-000431/CFA							
METHOD OF PAYMENT (check all that apply)				7				FEE CA	LCULATION (continued)	1,4		
	METH	OD OF P					3. ADD	ITIONAL	FEES			
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-	-		Ord	er			Fee	Fee	Fee	Fee	Fee Description	Fee Paid
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Depo	sit						105 127	130 50	203	25	Surcharge - late provisional filing fee	
Acco		02-2548					127	30	LL,		or cover sheet.	
Numl	per [, ,	139	130	139	130	Non-English specification	
Depo	sit					l	147	2,520	147	2,520	For filing a request for reexamination	
Acco Nam	e ¦		Decker (U.S				112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
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M Char	an any arto	itional fee	e(s) durin	a the bendenc	y or iriis applicant	on	115	110	215	55	Extension for reply within first month	
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	0			ULATION			117	920	217	460	Extension for reply within third month	
1. E	BASIC FILI						118	1,440	218	720	Extension for reply within fourth month	
Large	Entity S		ntity	Donariation			128	1,960	228	980	Extension for reply within fifth month	
Fee Code			ee Fee 6)	Description	Fee Paic	i	119	320	219	160	Notice of Appeal	
101	(+)	-		ity filing fee			120	320	220	160	Filing a brief in support of an appeal	
106		• •		sign filing fee			121	280	221	140	Request for oral hearing	
107	510 2	07 2		int filing fee			138	1,510	138	1,510	Petition to institute a public use proceeding	
108				issue filing fee ovisional filling f		-	140	110	240	55	Petition to revive – unavoidable	
114	160 2	14 8	80 PTC	Visional ming	ee		141	1,280	241	640	Petition to revive - unintentional	
		SUE	STOTAL (1	I)	(\$) O		142	1,280	242	640	Utility issue fee (or reissue)	
							143	460	243	230	Design issue fee	
2. EXT	RA CLAIN	! FEES		xtra Fe	e from Fee		144	620	244	310	Plant issue fee	-
					low Paid		122	130	122	130	Petitions to the Commissioner	<u> </u>
Total Clai	ims	20 *	** = 0		= 0		123	50	123	50	Processing fee under 37 CFR 1.17 (q) Submission of Information Disclosure	
Independe Claims		-3 *	* = 0	×	= 0		126	180	126	180	Stmt	180
Multiple Depender	L nt		L	x [= 0		581	40	581	40	Recording each patent assignment per property (times number of properties)	
Large	Entity	Smail Fee	Entity Fee				146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	· L
Fee Code	Fee (\$)	Code 203	(\$) 9	Fee Descripti			149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
103 102	18 84	203	42		laims in excess of	3		740	279	370	Request for Continued Examination (RCE)	.
102	280	204	140	Multiple deper	ndent claim, if not p	aid	179	740		=	Request for expedited examination	-
109	84	209	42	** Reissue inc original patent	lependent claims o t	ver	169	900	16	900	of a design application	-
110	18	210	9	** Reissue cla over original p	aims in excess of 20 patent	and			-14-3			
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							*Re	educed by	Basic F	iling Fee	Paid SUBTOTAL (3) (\$) 18	30
**or	number pre	viously p	aid, if gre	ater; For Reis	sues, see above							

SUBMITTED BY				Co	omplete (ir applicable)
		Registration No. Attorney/Agent)	31,160	Telephone	248-641-1600
Name (Print/Type)	Roland A. Fuller III	negistration No. / tternoy/ igen/			
Signature	~ a	In/1 111		Date	Aug. 30, 2002
Signature	0016	P19/24 111			

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.:

09/836,517

Filing Date:

April 17, 2001

Applicant:

Hung T. Du

Group Art Unit:

2834

Examiner:

Thanh Lam

Title:

ELECTRIC MOTOR HAVING ARMATURE COATED

WITH A THERMALLY CONDUCTIVE PLASTIC

Attorney Docket:

0275Y-000431/CPA

Hon. Commissioner of Patents and Trademarks Washington, D.C. 20231

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant hereby submits an Information Disclosure Statement for consideration by the Examiner.

I. LIST OF PATENTS, PUBLICATIONS, AND OTHER INFORMATION

The patents, publications and other information submitted for consideration by the Office (except unpublished U.S. patent applications) are listed on Form 1449 attached hereto.

II. <u>COPIES</u>

- A. X Submitted herewith is a legible copy of (i) each U.S. patent application publication and U.S. and foreign patent; (ii) each publication or that portion which caused it to be listed; (iii) for each cited pending U.S. application, the application specification including the claims, and any drawing of the application which caused it to be listed including the claims directed to that portion; and (iv) all other information or that portion which caused it to be listed.
- B. ___ Any patents, publications or other information which are listed on Form 1449 or on the copies of PTO-892, but which are not enclosed herewith, were previously cited by or submitted to the PTO in one of the following applications which has been relied upon for an earlier filing date under 35 U.S.C. § 120:

U.S. Serial Number

U.S. Filing Date

C.___This is a PCT application in the entry of the National Phase in the United States. A copy of the International Search Report is attached for the Examiner's information. The documents listed on the International Search Report are listed on the attached Form-1449 for consideration by the Examiner and for listing on any patent resulting from this application. Since the International Search Report was from the US, EPO, or JPO search authorities, copies of these references should have been supplied to the USPTO under the trilateral agreement and are believed to be in the file of the above-identified application. (MPEP 1893.03(g))

III. CONCISE EXPLANATION OF THE RELEVANCE (check at least one box)

- A. ___ Except as may be indicated below in (B), all of the patents, publications or other information are in the English language (concise explanation not required).
- B. $\underline{\mathbf{X}}$ A concise explanation of the relevance of each patent, publication or other information listed that is not in the English language is as follows (see 37 C.F.R. § 1.98(a)(3)):
 - 1.___See the attached foreign patent office communication from a counterpart foreign application:
 - 2. X English translations are provided for: as indicated on Form 1449.
- 3. X Other: English translations of abstracts are provided for: as indicated on Form 1449.

Japanese Patent No. 53-98007, published on August 26, 1978. Varnish is impregnated into gaps between coils and a gap between coils and a magnet core and at the same time varnish is also put into a space formed between an upper die and lower die to be thermally hardened, resulting in vanes formed integrally and solidly on the end portion of the coil.

German Patent No. 1 805 369, was first published on October 16, 1969 and describes a method and an apparatus for generating an insulating cover on an electric winding. As shown in the embodiment, the armature winding of an electric motor is coated with a powder (3), which is heat hardening and may consist of a resin, e.g. an epoxy resin, and contains a hardening agent.

German Utility Model No. 1 844 364, published on January 4, 1962, describes an armature of an electric motor comprising a commutator. As shown in Figures 2, 4, and 6, a ring (19) is positioned between one end of the winding coils and the commutator wherein commutator segments (26) are pressed into recesses of the ring. The preparered armature is inserted into a mould (Figure 6) and plastic material is poured into the mould from the top end so that it flows through slots (25) formed between the armature shaft (10) and the ring (19) to fill

the mould up to the upper end. Thereby the plastic material also fills the axial slots between adjacent commutator segments.

<u>Austrian Patent No. 240 485</u>, which was published on May 25, 1965, describes cooling means for an electric machine. These means may be formed by ribs, e.g. tangential ribs, having additional surface roughness and being provided on the armature (9) and on the bearing plate (4). Thereby a rotating flow of cooling air shall be generated.

German Patent No. 873 272, was published on April 13, 1953 and describes a lamination stack for a stator of an electric machine. The stack is enclosed by plastic material applied by injection moulding. The plastic material forms axial ribs or projections (3, 4) whereas the corners of the lamination stack (2) are not covered by plastic material and act as cooling ribs or fins.

German Patent Application No. P 19 22 427.2, which was published on November 5, 1970, describes an immersion pump having a stator embedded in plastic material, which plastic material may contain embedded metallic cylinders which may be perforated or the like and which extend out of the plastic material to effect heat dissipitation.

German Utility Model No. 77 08 518, published on July 28, 1977, describes a perpendicularly positioned electric motor having a motor housing (2) with cooling ribs or fins (1).

<u>European Patent Application 78100755.4</u>, published on April 4, 1979, describes an electric motor having a stator (1) with a laminated core (2) and windings (4), all enclosed by plastic material (8) which contains a filler to increase heat conductivity. The plastic material simultaneously forms the stator housing.

<u>European Patent Application 92105190.0</u>, published on October 7, 1992, describes an apparatus for manufacturing a laminated core for receiving an electric coil wherein injection moulding occurs prior to insertion of the coil.

C. X The following additional information is provided for the Examiner's consideration.

Applicants specifically draw the Examiner's attention to the following:

Translation of Japanese Publication No. 07-123642 (Japanese Patent Application No. 05-264811) (Japanese Patent Application submitted as Document No. 1 under Foreign Patent Documents in IDS filed July 30, 2001)

Translation of Japanese Publication No. 07-123618 (Japanese Patent Application No. 05-264812) (Japanese Patent Application submitted as Document No. 2 under Foreign Patent Documents in IDS filed July 30, 2001)

	U.S. 1	,642,057					
	U.S. 2	2,019,064					
	U.S. 2	2,820,914					
	U.S. 3	3,688,137					
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	U.S. 5	5,490,319					
	U.S. 5	5,634,258					
	U.S. 5	5,727,307					
	U.S. 5	5,925,467					
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IV.	<u>CROS</u>	SS REFEREN	CE TO RELA	TED APPLIC	CATION(S)		
	conta bringi	in(s) subject ng this(these	matter that r) application	nay be relat ı(s) to the	following co-p ed to the pre Examiner's a ns of 35 U.S.C	sent applic ttention, A	ation. By
		Serial No.		Filing Date		Art Unit	
V.		IDS IS BEING					
	A	_ 37 C.F.R. §					
			nued prosec	ution applica	date of a nati tion under § quired.		

2. within three months of the date of entry of the national stage as set forth in §1.491 in an international application (37 C.F.R. § 1.97(b)(2)). No fee or certification is required. before the mailing of a first Office Action on the merits (37 C.F.R. § 1.97(b)(3)). No fee or certification is required. In the event that a first Office Action on the merits has been issued, please consider this IDS under 37 C.F.R. § 1.97(c) and see the certification under 37 C.F.R. § 1.97(e) below; or, if no certification has been made, charge our deposit account a fee in the amount of \$180.00 as required by 37 C.F.R. § 1.17(p). 4. before the mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. § 1.114. No fee or certification is required. B. **X** 37 C.F.R. § 1.97(c): (check <u>only</u> one box) before the mailing date of either any Final Office Action under 37 C.F.R. § 1.113, a Notice of Allowance under 37 C.F.R. § 1.311, or an action that otherwise closes prosecution. 1. X No certification; therefore, a fee in the amount of \$180.00 is required by 37 C.F.R. § 1.17(p). 2. See the certification below. No fee is required. C. 37 C.F.R. § 1.97(d): • after the mailing date of either a Final Office Action under 37 C.F.R. § 1.113 or a Notice of Allowance under 37 C.F.R. § 1.311, yet on or before payment of the issue fee. See the certification below. A fee in the amount of \$180.00 is required by 37 C.F.R. § 1.17(p). VI. CERTIFICATION UNDER 37 C.F.R. § 1.97(e): (check only one box) The undersigned hereby certifies that: A. each item of information contained in this IDS was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS (See 37 C.F.R. § 1.97(e)(1)). See further statement under 37 C.F. R. 1.704(d) below in section VII, if applicable; or

- B. ____no item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this IDS (See 37 C.F.R. § 1.97(e)(2)).
- C. Some of the items of information were first cited in a communication from a foreign patent office. As to this information, the undersigned hereby certifies that each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby certifies that no item of this remaining information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this IDS.

VII. STATEMENT UNDER 37 CFR 1.704(d)

The undersigned hereby states that:

____ each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 C.F.R. 1.56(c) more than thirty days prior to the filing of this IDS.

VIII. PAYMENT OF FEES (check only one box)

- A. ____ A check in the amount of \$180.00 is enclosed for the above-identified fee.
- B. X Please charge Deposit Account No. 02-2548 as indicated on the enclosed Fee Transmittal.

The above references are being cited only in the interest of candor and without any admission that they constitute statutory prior art, contain matter which anticipates the invention, or which would render the same obvious, either singly or in combination, to a person of ordinary skill in the art. Furthermore, this Information Disclosure Statement shall not be construed as a representation that a search has been made.

If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule (with a petition if necessary) and charge the appropriate fee to Deposit Account No. 02-2548.

Please charge any additional fees or credit any overpayment pursuant to 37 C.F.R. § 1.16 or § 1.17 to Deposit Account No. 02-2548.

Respectfully submitted,

Dated: Aug. 30, 2001

By: Roland A. Fuller
Reg. No. 31,160

HARNESS, DICKEY & PIERCE, P.L.C. P.O. Box 828 Bloomfield Hills, Michigan 48303 (248) 641-1600

RAF/jy

Manual FORM HDP-1449 (Based on Form PTO-1449)

PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Sheet 1 of 8

ATTORNEY DOCKET NO.	SERIAL NO.
0275Y-000431/CPA	09/836,517
APPLICANT	
Hung T. Du	
FILING DATE	GROUP
April 17, 2001	2834

U.S. F	ATENT DO	CUMENTS				
Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
1.		2,019,064	10/29/1935	V.G. Apple		
2.		2,232,812	02/25/1941	Clair W. Studer		
3.		2,381,533	08/07/1945	F.P. Forss		* *
4.		2,618,757	11/18/1952	T.R.Wieseman et al.	-	
5.		2,683,233	07/06/1954	F.L. Ruhl		
6.		2,747,119	05/22/1956	M.E. Petersen et al.		
7.		2,820,914	01/21/1958	H. Rudoff et al.		
8.		2,822,483	02/04/1958	M.V.De Jean et al.		
9.		2,949,555	08/16/1960	T.R. Paul		
10.		2,997,776	08/29/1961	R.C. Matter et al.		
11.		3,151,262	09/29/1964	C.F. Howard et al.		
12.		3,182,383	05/11/1965	J. Rosenberg et al.		
13.		3,212,170	10/19/1965	J.W. Marshall	-	
14.		3,388,458	06/18/1968	J.L. Logan		
15.		3,407,491	10/29/1968	C.L. Clevenger et al.		
16.		3,427,264	02/11/1969	E.O. Forster et al.		
17.		3,436,815	04/08/1969	M.W. Sheets		
18.		3,468,020	09/23/1969	D.W. Carlson et al.		
19.		3,588,560	06/28/1971	Hans Akselsen		
20.		3,618,929	11/09/1971	Harold R. Upchurch		
21.		3,688,137	08/29/1972	Filhol		

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Date Considered:

FORM HDP-1449 (Based on Form PTO-1449)

PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Sheet 2 of 8

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APPLICANT	
Hung T. Du	
FILING DATE	GROUP
April 17, 2001	2834

U.S. F	PATENT DO	CUMENTS				
Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
22.		3,758,799	09/11/1973	Dochterman et al.		
23.		3,813,294	05/28/1974	C.H. Dyer et al.		
24.		3,874,073	04/01/1975	Dochterman et al.		*
25.		4,038,741	08/02/1977	Schuler		
26.		4,087,712	05/02/1978	Mosimann et al.	. *	
27.		4,159,562	07/03/1979	Liptak et al.		
28.		4,219,748	08/26/1980	Sakaguchi et al.		
29.		4,235,656	11/25/1980	Shimada et al.		
30.		4,263,711	04/28/1981	Sakano et al.		
31.		4,341,972	07/27/1982	Penn et al.		
32.		4,349,760	09/14/1982	Diepers		
33.		4,387,311	06/07/1983	Kobayashi et al.		
34.		4,399,949	08/23/1983	Penn et al.		-
35.		4,553,320	11/19/1985	Bryant-Jeffries et al.		-
36.		4,559,464	12/17/1985	Stokes	r	
37.		4,560,893	12/24/1985	van de Griend		
38.		4,616,407	09/14/1986	Tamaki et al.		
39.		4,624,884	11/25/1986	Harada et al.		
40.		4,682,410	07/28/1987	Kreuzer et al.		
41.		4,782,254	11/01/1988	Kreuzer et al.		

	 	
Examiner:	Date Considered:	

FORM HDP-1449 (Based on Form PTO-1449)

PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Sheet 3 of 8

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APPLICANT	
Hung T. Du	
FILING DATE	GROUP
April 17, 2001	2834

U.S. F	ATENT DO	CUMENTS				The said of the sa
Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
42.		4,800,315	01/24/1989	Schulz et al.		
43.		4,818,910	04/04/1989	Reisenweber		
44.		4,823,032	04/18/1989	Ward et al.		
45.	0	4,908,534	03/13/1990	Gubler et al.		*
46.		4,908,535	03/13/1990	Kreuzer et al.		
47.		4,918,801	04/24/1990	Schwarz et al.		
48.		4,922,604	05/08/1990	Marshall et al.		
49.		4,963,776	10/16/1990	Kitamura		
50.		5,003,212	03/26/1991	Ibe et al.		* *
51.		5,095,612	03/17/1992	McAvena		
52.		5,121,021	06/09/1992	Ward		
53.		5,130,596	07/14/1992	Umeki		
54.		5,233,249	08/03/1993	Schaeftlmeier et al.		
55.		5,244,608	09/14/1993	Andersen		
56.		5,268,607	12/07/1993	McManus		
57.		5,313,698	05/24/1994	Schaeftlmeier et al.		
58.		5,341,561	08/30/1994	Schorm et al.		
59.		5,352,948	10/04/1994	Kirn et al.		
60.		5,459,190	10/17/1995	Nakamura et al.		
61.		5,477,092	12/19/1995	Tarrant		

Examiner:	Date Considered:	

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PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Sheet 4 of 8

ATTORNEY DOCKET NO.	SERIAL NO.
0275Y-000431/CPA	09/836,517
APPLICANT	
Hung T. Du	
FILING DATE	GROUP
April 17, 2001	2834

U.S. PATENT DOCUMENTS						
Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
62.		5,490,319	02/13/1996	Nakamura et al.		
63.		5,567,284	10/22/1996	Bauer et al.		
64.		5,584,114	12/17/1996	McManus	*	
65.		5,606,791	03/04/1997	Fougere et al.		
66.		5,634,258	06/03/1997	Onodera et al.		
67.		5,668,428	09/16/1997	Stojkovich et al.	- 0	
68.		5,714,827	02/03/1998	Hansson		
69.		5,715,590	02/10/1998	Fougere et al.		
70.		5,727,307	03/17/1998	Gstohl et al.	- 0	
71.		5,731,646	03/24/1998	Heinze et al.		
72.		5,731,651	03/24/1998	Hyodo	-	*
73.	5	5,783,877	07/21/1998	Chitayat		
74.		5,783,888	07/21/1998	Yamano		
75.		5,806,169	09/15/1998	Trago et al.		
76.		5,821,654	10/13/1998	Woo		
77.		5,845,389	12/08/1998	Roberts et al.		
78.		5,911,685	06/15/1999	Siess et al.		
79.		5,921,913	07/13/1999	Siess		
80.		5,925,467	07/20/1999	Strumpler et al.		
81.		5,955,812	09/21/1999	Warner		

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<u></u>		

Date Considered:

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PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Sheet 5 of 8

ATTORNEY DOCKET NO.	SERIAL NO.
0275Y-000431/CPA	09/836,517
APPLICANT	
Hung T. Du	
FILING DATE	GROUP
April 17, 2001	2834

U.S. F	ATENT DO	CUMENTS				
Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
82.		5,960,532	10/05/1999	Hill		÷
83.		5,964,694	10/12/1999	Siess et al.		
84.		5,982,056	11/09/1999	Koyama et al.		-
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51.		EP 78100755.4	4/4/1979	Europe			Х
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